

Rotation (Pre Lecture)

Dr. Neil T. Dantam

CSCI-534, Colorado School of Mines

Spring 2020



Introduction

Rotations

- ▶ You've dealt with planar rotations in past (math/physics/engineering) classes
 1. Angles, sin, and cos
 2. Complex (imaginary) numbers
- ▶ Three-dimensional rotation is more complex than planar rotation

Outcomes

- ▶ Apply complex numbers to describe planar rotations (review)
- ▶ Apply **quaternions** to describe 3D rotations
- ▶ Relate quaternion/polar and matrix/rectangular rotation representations

Outline

Complex Numbers

Definition

Rotations

Quaternions

Definitions

3D Rotations

The “Imaginary” Number

$$\hat{i}^2 = -1$$

$$c = \underbrace{a + b\hat{i}}_{\text{complex number}}$$

$\underbrace{a}_{\text{real}} + \underbrace{b\hat{i}}_{\text{imaginary}}$

Complex Algebra

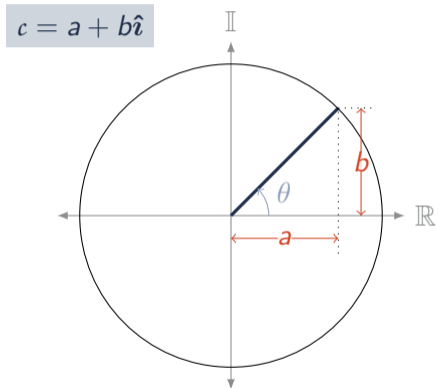
$$a = a_r + a_i \hat{i}$$

$$b = b_r + b_i \hat{i}$$

Addition: $(a_r + a_i \hat{i}) + (b_r + b_i \hat{i}) = (a_r + b_r) + (a_i + b_i) \hat{i}$

Multiplication: $(a_r + a_i \hat{i})(b_r + b_i \hat{i}) = (a_r b_r - a_i b_i) + (a_r b_i + a_i b_r) \hat{i}$

Complex Plane



Euler's Formula

Theorem: Euler's Formula

$$e^{\theta i} = \cos \theta + i \sin \theta$$

▶ Exponential Properties:

zero: $e^0 = 1$

derivative: $f^{(n)}(e^x) = e^x$

▶ Maclaurin Series: $f(x) = \frac{f(0)}{0!} (x^0) + \frac{f'(0)}{1!} (x^1) + \frac{f''(0)}{2!} (x^2) + \dots$

▶ $e^x = 1 + \frac{x}{1!} + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$

▶ $\cos x = 1 - \frac{x^2}{2!} + \frac{x^4}{4!} + \dots$

▶ $\sin x = x - \frac{x^3}{3!} + \frac{x^5}{5!} + \dots$

▶ $i^0 = 1 \quad i^1 = i \quad i^2 = -1 \quad i^3 = -i$

$i^4 = 1 \quad \dots$

Euler's Formula

Proof

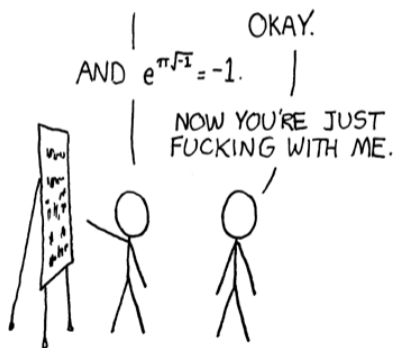
Proof Outline

$$\begin{aligned}
 e^{\theta \hat{i}} &= \frac{1}{0!} + \frac{(\theta \hat{i})^1}{1!} + \frac{(\theta \hat{i})^2}{2!} + \frac{(\theta \hat{i})^3}{3!} + \frac{(\theta \hat{i})^4}{4!} + \frac{(\theta \hat{i})^5}{5!} + \dots \\
 &= \frac{1}{0!} + \frac{\theta \hat{i}}{1!} - \frac{\theta^2}{2!} - \frac{\theta^3 \hat{i}}{3!} + \frac{\theta^4}{4!} + \frac{\theta^5 \hat{i}}{5!} + \dots \\
 &= \left(\frac{1}{0!} - \frac{\theta^2}{2!} + \frac{\theta^4}{4!} + \dots \right) + \left(\frac{\theta}{1!} - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} + \dots \right) \hat{i} \\
 &= \cos \theta + \hat{i} \sin \theta
 \end{aligned}$$



Exercise: Euler's Formula

NUMBERS OF THE FORM
 $n\sqrt{-1}$ ARE "IMAGINARY,"
 BUT CAN STILL BE USED
 IN EQUATIONS.



<https://xkcd.com/179/>

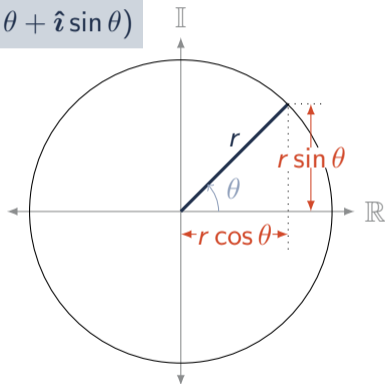
Proof

1. $e^{\pi\sqrt{-1}}$

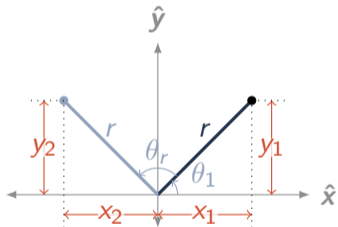
Complex Logarithm

- $e^{\hat{i}\theta} = \cos \theta + \hat{i} \sin \theta$
- $re^{\hat{i}\theta} = r(\cos \theta + \hat{i} \sin \theta)$
- $e^{\ln r} e^{\hat{i}\theta} = r(\cos \theta + \hat{i} \sin \theta)$
- $e^{\ln r + \hat{i}\theta} = r \cos \theta + \hat{i} r \sin \theta$
- $\ln r + \hat{i}\theta = \ln(r \cos \theta + \hat{i} r \sin \theta)$
 - ▶ $a = r \cos \theta$
 - ▶ $b = r \sin \theta$
- $\ln(a + b\hat{i}) = \ln r + \hat{i}\theta$, where
 - ▶ $\theta = \text{atan2}(b, a)$
 - ▶ $r = \sqrt{a^2 + b^2}$

$$re^{\theta\hat{i}} = r(\cos \theta + \hat{i} \sin \theta)$$



Planar Rotations



Rectangular / Matrix

$$\begin{bmatrix} x_1 \\ y_1 \end{bmatrix} = r \begin{bmatrix} \cos \theta_1 \\ \sin \theta_1 \end{bmatrix}$$

$$\begin{bmatrix} x_2 \\ y_2 \end{bmatrix} = r \begin{bmatrix} \cos (\theta_1 + \theta_r) \\ \sin (\theta_1 + \theta_r) \end{bmatrix}$$

$$= r \begin{bmatrix} \cos \theta_1 \cos \theta_r - \sin \theta_1 \sin \theta_r \\ \cos \theta_1 \sin \theta_r + \sin \theta_1 \cos \theta_r \end{bmatrix}$$

$$= \begin{bmatrix} \cos \theta_r & -\sin \theta_r \\ \sin \theta_r & \cos \theta_r \end{bmatrix} \begin{bmatrix} x_1 \\ y_1 \end{bmatrix}$$

Polar / Complex

$$re^{\theta_1 \hat{i}} = x_1 + y_1 \hat{i}$$

$$re^{(\theta_1 + \theta_r) \hat{i}} = x_2 + y_2 \hat{i}$$

$$(re^{\theta_1 \hat{i}}) e^{\theta_r \hat{i}} = x_2 + y_2 \hat{i}$$

Computational Issues

- ▶ Sounds complicated. Why not just use angles, sin, and cos?

1. Efficiency: sin/cos are expensive to compute.
Multiplies and adds (matrix/complex) are cheaper
2. Generalization to 3D

- ▶ Floating Point Error:

$$\text{▶ } \begin{bmatrix} \cos \theta_1 & -\sin \theta_1 \\ \sin \theta_1 & +\cos \theta_1 \end{bmatrix} \stackrel{\text{fp}}{*} \begin{bmatrix} \cos \theta_2 & -\sin \theta_2 \\ \sin \theta_2 & +\cos \theta_2 \end{bmatrix} = \begin{bmatrix} c_1 c_2 - s_1 s_2 & -c_1 s_2 - s_1 c_2 \\ c_1 s_2 + s_1 c_2 & c_1 c_2 - s_1 s_2 \end{bmatrix} + \begin{bmatrix} e_{11} & e_{12} \\ e_{21} & e_{22} \end{bmatrix}$$

- ▶ Normalize Rotation Matrix: Gram-Schmidt process

$$\text{▶ } (\cos \theta_1 + \hat{i} \sin \theta_1) \stackrel{\text{fp}}{*} (\cos \theta_2 + \hat{i} \sin \theta_2) = (c_1 c_2 - s_1 s_2) + (c_1 s_2 + s_1 c_2) \hat{i} + e_r + \hat{i} e_i = \tilde{c} + \hat{i} \tilde{s}$$

$$\text{▶ Normalize complex: } \tilde{c} + \hat{i} \tilde{s} \rightsquigarrow \frac{\tilde{c} + \hat{i} \tilde{s}}{\sqrt{\tilde{c}^2 + \tilde{s}^2}}$$

Outline

Complex Numbers

Definition

Rotations

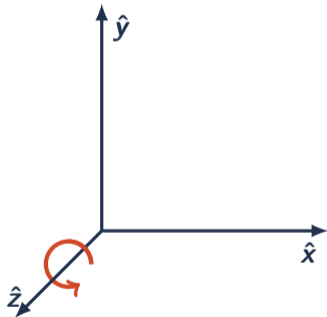
Quaternions

Definitions

3D Rotations

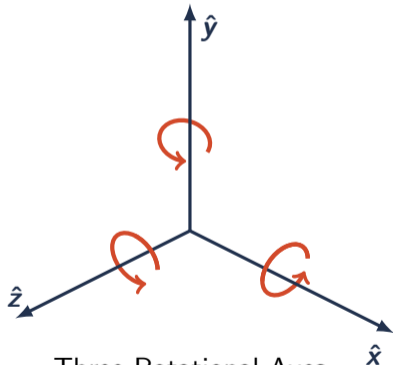
Geometric Intuition

2D



One Rotational Axis

3D



Three Rotational Axes

The Quaternion Axiom

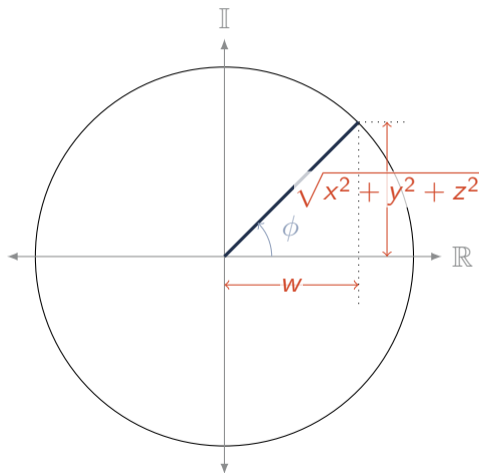
$$\hat{i}^2 = \hat{j}^2 = \hat{k}^2 = \hat{i}\hat{j}\hat{k} = -1$$

Quaternions

$$\hat{i}^2 = \hat{j}^2 = \hat{k}^2 = \hat{i}\hat{j}\hat{k} = -1$$

$$h = \underbrace{w}_{\text{scalar/real}} + \underbrace{x\hat{i} + y\hat{j} + z\hat{k}}_{\text{vector/imaginary}}$$

quaternion



Why not use three angles?

Conventions: 12 varying axis + 12 fixed axis:

Varying Axis: $xyz, xzy, yxz, yzx, zyx, zxy, xyx, xzx, yxy, yzy, zxz, zyz$

Fixed-Axis: $xyz, xzy, yxz, yzx, zyx, zxy, xyx, xzx, yxy, yzy, zxz, zyz$

Sequential Rotations: Sequence of rotations is no longer addition of angles.

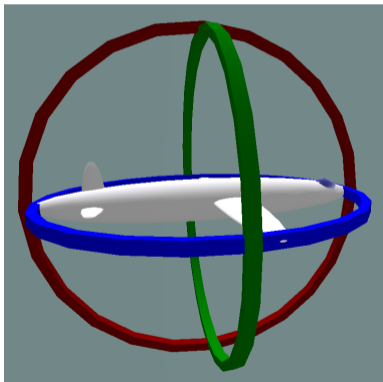
Axes change with each rotation.

Singularities: Aligned axes can remove a degree-of-freedom (“gimbal lock”)

Euler Angle Singularities

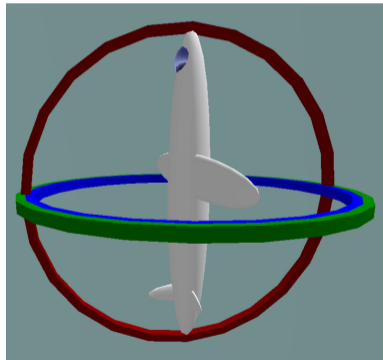
Gimbal Lock

OK



https://commons.wikimedia.org/wiki/File:No_gimbal_lock.png

Singularity



https://commons.wikimedia.org/wiki/File:Gimbal_lock.png

The Quaternion Axiom

$$\hat{i}^2 = \hat{j}^2 = \hat{k}^2 = \hat{i}\hat{j}\hat{k} = -1$$

$$\hat{h} = \underbrace{w}_{\text{scalar}} + \underbrace{x\hat{i} + y\hat{j} + z\hat{k}}_{\text{vector}}$$

Example: Multiplication of Quaternion Units

$$\hat{i}\hat{j} = ?$$

0.	$\hat{i}\hat{j}\hat{k} = -1$	start with quaternion axiom
1.	$\hat{i}\hat{j}\hat{k}^2 = -\hat{k}$	post-multiply by \hat{k}
2.	$-\hat{i}\hat{j} = -\hat{k}$	$\hat{k}^2 \rightsquigarrow -1$
3.	$\hat{i}\hat{j} = \hat{k}$	cancel the -1

Exercise: Multiplication of Quaternion Units

 $\hat{i}\hat{j}$

$$\hat{i}\hat{j}\hat{k} = -1$$

$$\hat{i}\hat{j}\hat{k}^2 = -\hat{k}$$

$$-\hat{i}\hat{j} = -\hat{k}$$

$$\hat{i}\hat{j} = \hat{k}$$

 $\hat{j}\hat{k}$ $\hat{i}\hat{k}$ $\hat{j}\hat{i}$ $\hat{k}\hat{j}$ $\hat{k}\hat{i}$

Multiplication of Quaternion Units

Summary

*	\hat{i}	\hat{j}	\hat{k}
\hat{i}	$\hat{i}^2 = -1$	$\hat{i}\hat{j} = \hat{k}$	$\hat{i}\hat{k} = -\hat{j}$
\hat{j}	$\hat{j}\hat{i} = -\hat{k}$	$\hat{j}^2 = -1$	$\hat{j}\hat{k} = \hat{i}$
\hat{k}	$\hat{k}\hat{i} = \hat{j}$	$\hat{k}\hat{j} = -\hat{i}$	$\hat{k}^2 = -1$

Example: Complex Multiplication

1. $(a_w + a_x \hat{i})(b_w + b_x \hat{i})$
2. $(a_w + a_x \hat{i})b_w + (a_w + a_x \hat{i})b_x \hat{i}$
3. $a_w b_w + a_x b_w \hat{i} + a_w b_x \hat{i} + a_x b_x \hat{i}^2$
4. $(a_w b_w - a_x b_x) + (a_x b_w + a_w b_x) \hat{i}$

Exercise: Quaternion Multiplication

1. $(a_w + a_x \hat{i} + a_y \hat{j} + a_z \hat{k}) \otimes (b_w + b_x \hat{i} + b_y \hat{j} + b_z \hat{k})$

Complex Multiplication Matrix

Multiplication:

$$(a_w + a_x \hat{i}) \otimes (b_w + b_x \hat{i}) = a_w b_w - a_x b_x + (a_x b_w + a_w b_x) \hat{i}$$

Multiplication Matrix:

$$\begin{bmatrix} a_w & -a_x \\ a_x & a_w \end{bmatrix} \begin{bmatrix} b_w \\ b_x \end{bmatrix} = \begin{bmatrix} a_w b_w - a_x b_x \\ a_x b_w + a_w b_x \end{bmatrix}$$

Quaternion Multiplication Matrix

Matrix Form:

$$x\hat{i} + y\hat{j} + z\hat{k} + w = [x \quad y \quad z \quad w]^T$$

Multiplication:

$$a \otimes b = \begin{aligned} & (a_w b_x - a_z b_y + a_y b_z + a_x b_w) \hat{i} \\ & + (a_z b_x + a_w b_y - a_x b_z + a_y b_w) \hat{j} \\ & + (-a_y b_x + a_x b_y + a_w b_z + a_z b_w) \hat{k} \\ & + (-a_x b_x - a_y b_y - a_z b_z + a_w b_w) \end{aligned}$$

Multiplication Matrix:

$$\begin{bmatrix} a_w & -a_z & a_y & a_x \\ a_z & a_w & -a_x & a_y \\ -a_y & a_x & a_w & a_z \\ -a_x & -a_y & -a_w & a_w \end{bmatrix} \begin{bmatrix} b_x \\ b_y \\ b_z \\ b_w \end{bmatrix} = \begin{bmatrix} a_w b_x - a_z b_y + a_y b_z + a_x b_w \\ a_z b_x + a_w b_y - a_x b_z + a_y b_w \\ -a_y b_x + a_x b_y + a_w b_z + a_z b_w \\ -a_x b_x - a_y b_y - a_z b_z + a_w b_w \end{bmatrix}$$

Use: quaternions within a larger system of linear equations

Exercise: Quaternion Multiplication Commutativity

Conjugate

Complex:

$$c = a + b\hat{i}$$

$$c^* = a - b\hat{i}$$

$$cc^* = a^2 + b^2$$

Quaternion:

$$h = x\hat{i} + y\hat{j} + z\hat{k} + w$$

$$h^* = -x\hat{i} - y\hat{j} - z\hat{k} + w$$

Conjugate

Multiplication

$$\begin{aligned}
 1. \quad h h^* &= (x\hat{i} + y\hat{j} + z\hat{k} + w) \otimes (-x\hat{i} - y\hat{j} - z\hat{k} + w) \\
 &\quad (-wx + xw - yz + zy)\hat{i} \\
 2. \quad &= \quad +(-wy + xz + yw - zx)\hat{j} \\
 &\quad +(-wz - xy + yx + zw)\hat{k} \\
 &\quad + (ww + xx + yy + zz) \\
 3. \quad &= x^2 + y^2 + z^2 + w^2
 \end{aligned}$$

Cancel the imaginary part

Quaternion Norm and Inverse

Norm

$$|q| = \sqrt{q_x^2 + q_y^2 + q_z^2 + q_w^2}$$

Inverse

$$q^{-1} = \frac{q^*}{|q|^2} = \frac{-q_x \hat{i} + -q_y \hat{j} + -q_z \hat{k} + q_w}{q_x^2 + q_y^2 + q_z^2 + q_w^2}$$

$$q \otimes q^{-1} = 1$$

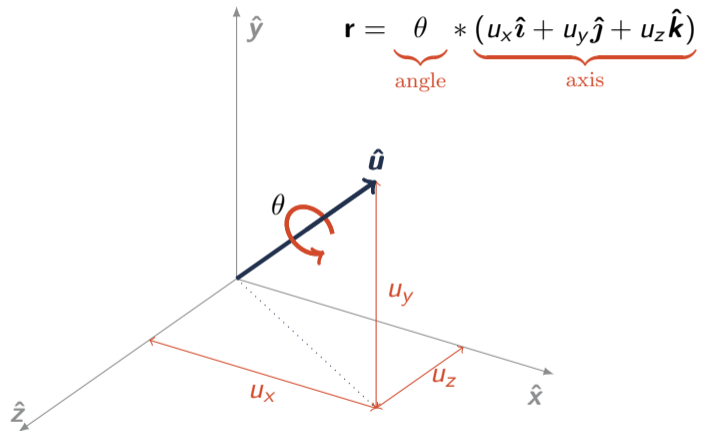
When $|q| = 1$, then $q^* = q^{-1}$ and $q \otimes q^* = 1$

Quaternion Algebra

$$p = p_w + p_x \hat{i} + p_y \hat{j} + p_z \hat{k}$$

$$q = q_w + q_x \hat{i} + q_y \hat{j} + q_z \hat{k}$$

Axis-Angle and Rotation Vector



Any 3D rotation: an angle θ about an axis \hat{u}

Quaternion Rotations

Complex:

$$(x_1 + y_1 \hat{i}) = e^{\theta \hat{i}} \overbrace{(x_0 + y_0 \hat{i})}^{\text{2D point}}$$

Quaternion:

$$\begin{aligned} (x_1 \hat{i} + y_1 \hat{j} + z_1 \hat{k}) &= \exp\left(\frac{\theta}{2} \hat{u}\right) \overbrace{(x_0 \hat{i} + y_0 \hat{j} + z_0 \hat{k})}^{\text{3D point}} \exp\left(-\frac{\theta}{2} \hat{u}\right) \\ &= \exp\left(\frac{\theta}{2} \hat{u}\right) (x_0 \hat{i} + y_0 \hat{j} + z_0 \hat{k}) \left(\exp\left(\frac{\theta}{2} \hat{u}\right)\right)^* \end{aligned}$$

- ▶ Pre/Post multiply to keep point in vector/imaginary part
- ▶ Each contributes half the angle

Quaternion Exponential

Complex:

$$e^{\theta \hat{i}} = \hat{i} \sin \theta + \cos \theta$$

Pure Quaternion:

$$\exp\left(\frac{\mathbf{r}}{2}\right) = \exp\left(\frac{\theta}{2} \hat{\mathbf{u}}\right) = \sin \frac{\theta}{2} \left(u_x \hat{i} + u_y \hat{j} + u_z \hat{k}\right) + \cos \frac{\theta}{2}$$

General Quaternion:

$$\exp x \hat{i} + y \hat{j} + z \hat{k} + w = \exp(\vec{v} + w) = e^w \left(\frac{\sin |v|}{|v|} \vec{v} + \cos |v|\right)$$

Exercise: Axis-Angle to Quaternion

1. $\theta = \pi$ and $\hat{\mathbf{u}} = \hat{\mathbf{i}}$

$$\exp\left(\frac{\pi}{2}\hat{\mathbf{i}}\right) \rightsquigarrow \sin\frac{\pi}{2}\hat{\mathbf{i}} + \cos\frac{\pi}{2} \rightsquigarrow \hat{\mathbf{i}}$$

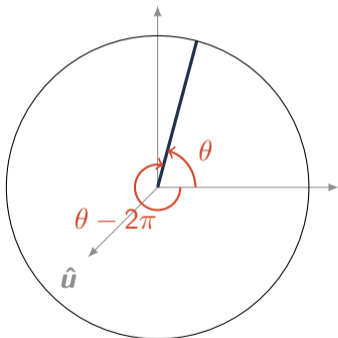
2. $\theta = \frac{\pi}{2}$ and $\hat{\mathbf{u}} = \hat{\mathbf{k}}$

3. $\theta = -\frac{3\pi}{2}$ and $\hat{\mathbf{u}} = \hat{\mathbf{k}}$

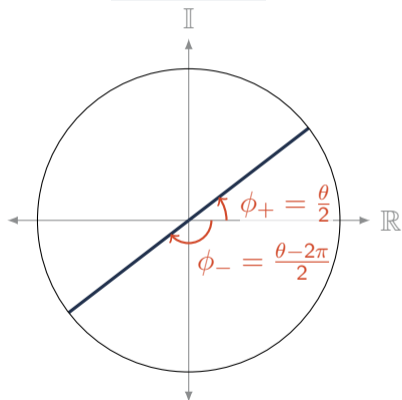
4. $\theta = 0$ and $\hat{\mathbf{u}} = \hat{\mathbf{i}}$

Double Cover

Spatial



Quaternion



Quaternion Logarithm

Complex: $\ln(a + b\hat{i}) = \ln(\sqrt{a^2 + b^2}) + \hat{i} \operatorname{atan2}(b, a)$

Quaternion: $h = x\hat{i} + y\hat{j} + z\hat{k} + w + \vec{v} + w$

$$\phi = \operatorname{atan2}(|\vec{v}|, w)$$

$$\ln h = \frac{\phi}{|\vec{v}|} \vec{v} + \ln |h|$$

$$\frac{\phi}{|\vec{v}|} = \frac{\left(\frac{\phi}{|h|}\right)}{\left(\frac{|\vec{v}|}{|h|}\right)} = \frac{\left(\frac{\phi}{|h|}\right)}{\sin \phi} = \frac{\phi}{|h| \sin \phi}$$

$$\frac{\sin \phi}{\phi} = 1 - \frac{\phi^2}{6} + \frac{\phi^4}{120} - \frac{\phi^6}{5040} + \frac{\phi^8}{362880} - \frac{\phi^{10}}{39916800} + \dots$$

Velocities and Derivatives

Angular Velocity: $\boldsymbol{\omega} = \omega_x \hat{\mathbf{i}} + \omega_y \hat{\mathbf{j}} + \omega_z \hat{\mathbf{k}}$

Quaternion Derivative:

$$\begin{aligned} \blacktriangleright \dot{h} &= \omega_x \hat{\mathbf{i}} + \omega_y \hat{\mathbf{j}} + \omega_z \hat{\mathbf{k}} \\ &= \frac{1}{2} \boldsymbol{\omega} \otimes h \end{aligned}$$

$$\blacktriangleright \boldsymbol{\omega} = 2 \dot{h} \otimes h^*$$

Product Rule: $\frac{d}{dt} (a(t) \otimes b(t)) = (\dot{a}(t) \otimes b(t)) + (a(t) \otimes \dot{b}(t))$

Acceleration: $\blacktriangleright \ddot{h} = \frac{1}{2} (\dot{\boldsymbol{\omega}} \otimes h + \boldsymbol{\omega} \otimes \dot{h})$

$$\blacktriangleright \boldsymbol{\alpha} = \dot{\boldsymbol{\omega}} = 2 (\ddot{h} \otimes h^* + \dot{h} \otimes \dot{h}^*)$$

Integration

Quaternions as Linear ODE

$$\frac{d}{dt} h = \frac{1}{2} \omega \otimes h$$

$$h_1 = \exp\left(\frac{\omega \Delta t}{2}\right) \otimes h_0$$

Proportional Control

- Given: ▶ Actual orientation h_a
 ▶ Desired orientation h_d

Find: Velocity command ω_u to reach h_d

- Solution:
1. $h_d = \exp\left(\frac{\omega_u \Delta t}{2}\right) \otimes h_a$
 2. $h_d \otimes (h_a)^* = \exp\left(\frac{\omega_u \Delta t}{2}\right)$
 3. $\ln(h_d \otimes (h_a)^*) = \frac{\omega_u \Delta t}{2}$
 4. $\frac{2 \ln(h_d \otimes (h_a)^*)}{\Delta t} = \omega_u$
 5. $\rightsquigarrow \boxed{\omega_u \propto \ln(h_d \otimes (h_a)^*)}$

Note 0: William Rowan Hamilton



1805-1865



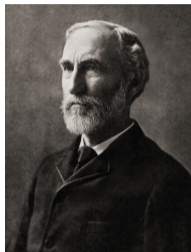
Brougham (Broom) Bridge, Dublin

Note 1: Gibb's Vector Analysis

$$a \otimes b = \begin{pmatrix} (a_y b_z - a_z b_y + a_w b_x + b_w a_x) \hat{i} + \\ (a_z b_x - a_x b_z + a_w b_y + b_w a_y) \hat{j} + \\ (a_x b_y - a_y b_x + a_w b_z + b_w a_z) \hat{k} + \\ (a_w b_w - a_x b_x - a_y b_y - a_z b_z) \end{pmatrix} = \begin{pmatrix} a_v \times b_v + a_w b_v + b_w a_v \\ a_w b_w - a_v \cdot b_v \end{pmatrix}$$

$$(a_x \hat{i} + a_y \hat{j} + a_z \hat{k}) \times (b_x \hat{i} + b_y \hat{j} + b_z \hat{k}) = \begin{matrix} (a_y b_z - a_z b_y) \hat{i} \\ + (a_z b_x - a_x b_z) \hat{j} \\ + (a_x b_y - a_y b_x) \hat{k} \end{matrix}$$

$$(a_x \hat{i} + a_y \hat{j} + a_z \hat{k}) \cdot (b_x \hat{i} + b_y \hat{j} + b_z \hat{k}) = a_x b_x + a_y b_y + a_z b_z$$



J. Willard Gibbs
1839-1903

Counterpoint:

*Quaternions offer **computational** advantages for rotations.*

Summary

Complex Numbers

Definition

Rotations

Quaternions

Definitions

3D Rotations

References

- ▶ Lynch & Park. Modern Robotics. 2017. Ch. 3.2, Appendix B.
- ▶ James Diebel. Representing attitude: Euler angles, unit quaternions, and rotation vectors. Technical report, Stanford University, 2006.